Docket No.: 74451P106

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#6

In Re the Application of:

KURT W. PIERSOL, ET AL.

Application No.: 09/439,152

Filed: November 12, 1999

For: A SYSTEM FOR CAPTURING

FACSIMILE DATA IN AN ELECTRONIC DOCUMENT MANAGEMENT SYSTEM

Art Group: 2622

Examiner: Arthur G. Evans

#### INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a final Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Some or all of the references listed on the enclosed PTO/SB/08 were previously identified in the parent application (Application No. 09/410,364, filed September 30, 1999) and copies of the references were furnished at that time. Accordingly, per 37 CFR §1.98(d)(1) additional copies of those references are not submitted herewith.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

The fee set in the amount of \$180.00 for submission of the Information Disclosure Statement is enclosed herewith. Please charge any additional fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: November 13, 2003

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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Complete if Known				
Application Number	09/439,152			
Filing Date	November 12, 1999			
First Named Inventor	Kurt W. Piersol			
Art Unit	2622			
Examiner Name	Arthur G. Evans			
Attorney Docket Number	74451P106			

			U.S. PATE	NT DOCUMENTS	
Examiner Initials*	Cite No.¹	Document Number  Number - Kind Code <sup>2</sup> (if known)	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US-5,163,088	11-10-1992	LoCascio	
	2	US-6,047,391	04-04-2000	Younis et al.	
	3	US-5,999,964	12-07-1999	Murakata et al.	
	4	US-5,923,826	07-13-1999	Grzenda et al.	
	5	US-6,058,437	05-02-2000	Park et al.	
	6	US-6,373,585	04-16-2002	Mastie et al.	
	7	US-5,819,273	10-08-1998	Vora et al.	
	8	US-6,288,790	09-11-2001	Yallepeddy et al.	
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	13	US-5,946,701	08-31-1999	Hamada	
	14	US-5,905,980	05-18-1999	Mauichi et al.	
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	17	US-5,749,083	05-05-1998	Koda et al.	
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Examiner Initials*	Cite No.1	Cite Foreign Patent Document	Publication Date MM-DD-YYYY		Pages, Columns, Lines,		
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)		Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T	
· —	21	FR 2 646 539	11-02-1990	Arnould et al.			
<u></u>	22	EP 0 446 149 A1	09-11-1991	Thi et al.			
	23	DE 44 11 244 Aq	10-05-1995				
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Examiner	Date	
Signature	Considered	

<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>&#</sup>x27;Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04, <sup>2</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>3</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>4</sup>Applicant is to place a check mark here if English language Translation is attached.

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Art Unit	2622
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Attorney Docket Number	74451P106

	U.S. PATENT DOCUMENTS							
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	24	US-5,907,837	05-25-1999	Ferrel et al.				
	25	US-5,742,816	04-21-1998	Barr et al.				
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Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wtr) 08/11/2003.

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	NON PATENT LITERATURE DOCUMENTS		
Examiner Initials*	Cite No.' Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
	Database WPI, Sectino EI, Week 200019, Derwent Publications, Ltd., London, GI T01, AN 2000-219955, XP002208484 & KR 99 015 263 A.		
		(Korea Electronic & Telecom Res Inst), 5 March 1999, abstract	
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Examiner	Date
Signature	Considered

Based on PTO/SB/08B (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wtr) 08/11/2003. Send To: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

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